

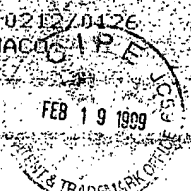


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

113

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/220,970	12/23/98	MILLS	9213-4

Patent Application
Filed 12/23/98
SETDEL GONDA LAVORGNA & MONACOS
TWO PENN CENTER PLAZA
SUITE 1800
PHILADELPHIA PA 19102



From NOT ASSIGNED

Examined Not yet assigned
2741

DATE MAILED:

01/26/99

NOTICE TO FILE MISSING PARTS OF APPLICATION:
PATTERN RE- (Filing Date Granted)
PROCESSING

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a small entity (statement filed) or non-small entity is \$ 130

- ☒ 1. The statutory basic filing fee is:
- ☐ missing.
 - ☒ insufficient. Box Missing Parts.
- Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- ☒ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.
- \$ _____ for independent claims over 3.
- \$ _____ for dependent claims over 20.
- \$ _____ for multiple dependent claim surcharge.
- Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
- ☒ is missing or unexecuted.
 - ☐ does not cover the newly submitted items.
 - ☐ does not identify the application to which it applies.
 - ☐ does not include the city and state or foreign country of applicant's residence.
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63, listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.
- See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

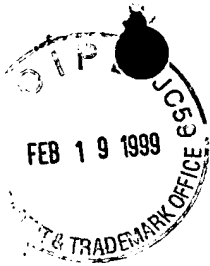
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Patent Examination Division (93) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Sector 7

#17

PATENT

Attorney Docket No.: 9213-4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent application of
Randell L. Mills
Serial No.: 09/220,970
Filed: December 23, 1998
For: METHOD AND SYSTEM FOR
PATTERN RECOGNITION AND
PROCESSING
Group Art Unit: 2741
Examiner: Not yet assigned

**RESPONSE TO NOTICE OF MISSING
PARTS OF APPLICATION - FILING DATE GRANTED**

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Dear Sir:

This correspondence is in response to the attached Notice to File Missing Parts of
Application dated January 26, 1999, and is being timely filed before the two month deadline set
forth in that notice.

02/23/99 SLUANG 00000017 191135 09220970
01 FC 205 65.00 CH

**CERTIFICATE OF MAILING
UNDER 37 C.F.R. 1.8(a)**

I hereby certify that this paper, along with any paper referred to as being attached
or enclosed, is being deposited with the United States Postal Service on the date
indicated below, with sufficient postage, as first class mail, in an envelope addressed
to: Assistant Commissioner for Patents, Washington, D.C. 20231.

BY J. Lynn Ferry
DATE: February 15, 1999

The following papers are submitted herewith:

1. A copy of the Form PTO-1533.
2. An executed Declaration and Power of Attorney.

A Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(c)) - Independent Inventor was filed with the Patent Office on December 23, 1998.

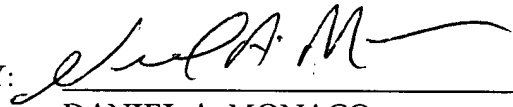
Please charge \$65.00 (Small Entity fee for late filing of Declaration) to Deposit Account No. 19-1135. Please charge any fee deficiency required by this paper or credit any amount paid in excess to Account No. 19-1135.

This paper is submitted in duplicate.

Respectfully submitted,

Randell L. Mills

BY:



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Registration No. 30,480

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